COLLEGE OF OPTOMETRISTS OF ONTARIO

65 St. Clair Avenue East
Suite 900
Toronto, ON M4T 2Y3
T: 416.479.9295
TF: 1.833.402.4819
F: 647.577.4271
collegeoptom.on.ca

Chart Review Protocol

Case ID#: _____ Patient File # _____ Date and Time of Patient Visit: _____
Year of Patient Birth: _____ Gender: _____

Note to Assessors:

This Chart Review Protocol is dependent on your clinical judgement with the Standards of Practice in the Optometric Practice Reference (OPR) as your guide. In assigning your score, refer to the relevant standards, which are noted for you. If you are assigning scores of 1 (Partially Met) or 0 (Unmet), your comments are important sources of information for the registrant, the College's QA Committee, and for a possible subsequent assessment by a different assessor, using the Chart Stimulated Recall protocol.

Rating Scale Definitions:

Met (Score of 2)	Most elements of the standard for care and related competency are evident and deficiencies, if any, are minor.
Partially Met (Score of 1)	Some elements of the standard for care are lacking, but the likelihood of adverse patient outcomes is low.
Unmet (Score of 0)	Many elements of the standard for care are lacking or patient outcomes could be adversely affected.

PATIENT Initials: _____	Met (2)	Partially Met (1)	Unmet (0)	Assessor's Comments
Standard: Patient Record **Competency: Clinical Expertise- Documentation**				
1. Reason(s) for consultation/presenting chief complaint (s) identified (if any) (OPR C.1., D.1.)				
2. Health history (ocular and systemic) including the use of medications and allergy information explored (OPR C.1., D.1.)				
3. Relevant family ocular health history recorded (OPR C.1., D.1.)				
4. Record shows that the member diagnosed, addressed, and properly managed all chief complaints and patient needs with respect to the findings in the case history and basic examination (OPR D.1., B.8.)				
Standard: Examination and Care of Specific Diseases **Competency: Clinical Expertise – Examinations and Judgement**				
5. Presenting monocular visual acuity at distance recorded. The presenting near visual acuity recorded (monocular, if indicated) (OPR D.1.)				
6. Sufficient BV testing to allow basic diagnosis and if appropriate referral of cases of binocular vision dysfunction or oculomotor dysfunction (OPR D.3.)				
7. Monocular BCVA at distance recorded (OPR D.1.)				
8. An appropriate measure of refraction conducted (e.g., cycloplegia when indicated) (OPR D.2.)				

PATIENT Initials: _____	Met (2)	Partially Met (1)	Unmet (0)	Assessor's Comments
9. Pupillary reflexes tested (OPR D.1.)				
10. Tissues of the anterior segment examined (OPR D.4.)				
11. Intraocular pressure measured and documented, if indicated, and clinically practicable (OPR D.1.)				
12. Optic nerve head assessed according to standard of care (e.g., C/D ratio) (OPR D.5.)				
13. Other tissues of the posterior segment examined (through a dilated pupil if indicated) (OPR D.5., D.6.)				
14. Given all clinical information, further investigations for glaucoma were initiated (if applicable) (OPR D.1., F.3.4.).				
15. Given all clinical information, further investigations for retinal disorders (such as AMD, diabetic retinopathy etc.) were initiated (if applicable) (OPR D.1., F.3.3., F.4.)				
16. Prescribed spectacle and/or contact lens therapy, and recommended additional treatment as indicated (e.g., vision therapy, dry eye therapy etc.) (OPR F.1., F.2., F.3.1.)				
Standard: The Prescription **Competency: Communication**				

PATIENT Initials: _____	Met (2)	Partially Met (1)	Unmet (0)	Assessor's Comments
17. Driver's license restriction reported to the Ministry of Transportation, if indicated (OPR B.4.3.)				
18. Final prescription(s) clearly stated and is legible (OPR E.1., E.2., E.3.)				
Standard: Use and Prescribing Drugs in Optometric Practice **Competency: Patient-Centered Care**				
19. Common adverse effects that different medical conditions and medications (prescribed by OD) may have on the eye and vision system identified and communicated to the patient (OPR C.1., D.1., E.2.)				
Standard: Collaboration and Shared Care **Competency: Collaboration**				
20. Appropriate healthcare professional(s) for patient referred and consulted, including other optometrists. (OPR E.7.)				
Total Score		**/40**		**Recommendation:** **Chart-Stimulated Recall** **No Yes**

Please provide the Committee with specific comments that you believe are critical to this chart review that have not been captured by this Chart Review Protocol.